PATENT Attorney Docket No. 101.0112-01000 Customer No. 22882

Confirmation No.: 6717

Group Art Unit: 3732

1PW

SEP 2 0 2004 gg

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

here Application of.	)
Gary K. Michelson, M.D.	)
Serial No.: 10/809,242	)
Filed: March 25, 2004	)
For: INSTRUMENTATION FOR USE WITH	)
DYNAMIC SINGLE-LOCK ANTERIOR	)
CERVICAL PLATE SYSTEM HAVING	)
NON-DETACHABLY FASTENED AND	)
MOVEARIE SEGMENTS	í

Mail Stop AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

#### CERTIFICATE OF MAILING VIA FIRST CLASS MAIL

Date of Deposit: September 16, 2004

### I hereby certify that:

- 1. Information Disclosure Statement Under 37 C.F.R. § 1.97(b)
- 2. Form PTO-1449
- 3. Self-addressed return postcard receipt

are being deposited with the United States Postal Service to Addressee with sufficient postage as first class mail under 37 C.F.R. § 1.8 on the date indicated above and are addressed to:

Mail Stop AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Date: September 16, 2004

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PATENT Attorney Docket No. 101.0112-01000 Customer No. 22882 Express Mail Label No. ED149927685US

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MOVEABLE SEGMENTS	)	

Mail Stop AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicant brings to the attention of the Examiner the document listed on the attached PTO 1449. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

Applicant respectfully requests that the Examiner consider the listed document and indicate that it was considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that the listed document is material or constitutes "prior art." If the Examiner applies the document as prior art against any claim in the application and applicant determines that the cited document does not constitute "prior art" under United States law, applicant reserves the right to present to the office the relevant facts and law regarding the appropriate status of such document.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 50-1066.

Respectfully submitted,

MARTIN & FERRARO, LLP

Date: September 16, 2004

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OMB 0651-0031

Substitute for	FORM PTO-1449	umber	Customer No. 22882	/IB 0051-0031					
INFORMATION DISCLOSURE CITATION IN AN APPLICATION			Applicant		Application Number 10/809,242				
			Gary K. Michelson, M.D.						
(Use several sheets if necessary)			Filing Date		Group Art Unit Examiner				
Sheet <u>1</u> of <u>1</u>			March 25, 2004		3732	(P. Philogene)			
U.S. PATENT DOCUMENTS									
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE			
	5,034,418	7/1991	Yamagishi et al.			·			
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	FC	REIGN PATE	NT DOCUMENTS		1				
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION (YES/NO)			
	OTHER DOCUMENT	S (Including Au	thor, Title, Date, Perti	nent Pages,	Etc.)				
EXAMINER	1	DATE CONSIDE	RED						
EXAMINER: Ir	EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.								